

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371

Attorney Docket No.
U25.12-0001

U.S. Application No.

10/581226

INTERNATIONAL APPLICATION
PCT/FR04/03030

INTERNATIONAL FILING DATE
25 November 2004

PRIORITY DATE CLAIMED
02 December 2003

TITLE OF INVENTION
NEUROACTIVE SUBSTANCE AND USES OF ONE SUCH SUBSTANCE

APPLICANT(S) FOR DO/EO/US
PETIT Karina-Ethel et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (12) indicated below.
 4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ An English language translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and .198.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
 14. ☒ An Application Data Sheet under 37 CFR 1.76
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
 20. ☒ Other items or information:

a. <input type="checkbox"/> Copy of Search Report.	b. <input checked="" type="checkbox"/> Abstract typed on a separate page.
c. <input checked="" type="checkbox"/> 2 sheets of drawings.	d. <input type="checkbox"/> International Preliminary Examination Report.

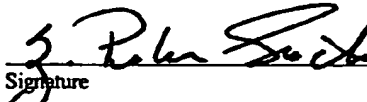
U.S. APPLICATION NO. 107581226		INTERNATIONAL APPLICATION NO. PCT/FR04/03030		ATTORNEY'S DOCKET NUMBER U25.12-0001	
21. <input checked="" type="checkbox"/> The following fees are submitted:				Applicant Use	Office Use Only
<input checked="" type="checkbox"/> (a) Basic National Fee		\$300.00		\$300.00	
<input checked="" type="checkbox"/> (b) Examination Fee		\$200.00		\$200.00	
<input checked="" type="checkbox"/> (c) Search Fee		\$500.00		\$500.00	
Total of Above Calculations=				\$1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
22-100=	0/50=	0	x \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims		37 - 20 =	17	X \$50.00	\$850.00
Independent claims		4 - 3 =	1	X \$200.00	\$200.00
MULTIPLE DEPENDENT CLAIM (S) (if applicable)				+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$2050.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$-1025.00	
SUBTOTAL =				\$1025.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$0.00	
TOTAL NATIONAL FEE =				\$1025.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$0	
TOTAL FEES ENCLOSED				\$1065.00	
				Amount to be: refunded	\$
				charged	\$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 23-1123 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 23-1123.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (1.37(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

Z. Peter Sawicki
WESTMAN, CHAMPLIN & KELLY, P.A.
900 Second Avenue South, Suite 1400
Minneapolis, MN 55402-3319


Signature
Z. Peter Sawicki
Name
30,214
Registration Number